



CITY OF INDIANAPOLIS  
STATEMENT OF ECONOMIC INTEREST

**Please review [www.indy.gov/ethics](http://www.indy.gov/ethics) if you have questions about this form.**

The City of Indianapolis/Marion County requires the following individuals to file this form with the Office of Corporation Counsel (OCC) between April 1<sup>st</sup> and May 1<sup>st</sup> each year:

- (1) The Mayor, Assessor, Auditor, Clerk, Coroner, Recorder, Sheriff, Surveyor, Treasurer, and any declared candidate for those offices.
- (2) Appointees to city/county boards/commissions (except advisory bodies designated by OCC);
- (3) The director of any city/county agency/department and any employee who reports directly to the director and any employee who reports directly to an elected official listed in subpart (1);
- (4) Any employee whose employment is subject to the approval of the City-County Council; and,
- (5) Any employee designated by OCC as having "final purchasing authority" and all employees of the purchasing division of the Office of Finance and Management.

**Please Note:**

- If you fall into multiple categories above, you need only file one (1) form.
- In addition to the annual filing requirement, new appointees and new employees, declared candidates for elected office, and employees leaving city/county employment must also file this form, as required by Section 293-322. (For more information on this, see [www.indy.gov/ethics](http://www.indy.gov/ethics).)
- An individual required to file this form must file an amended form upon discovery of any additional information that is required to be reported.
- OCC reviews each form to ensure that it is complete, legible, and filed in a timely manner.
- Failure to file the form in a timely manner may subject you to sanctions by the Ethics Commission.

**ALL QUESTIONS MUST BE ANSWERED COMPLETELY & LEGIBLY**

- 1. Your Full Name:
- 2. Your Email Address:  Your Work Phone Number:
- 3. Your Employer's Name:
- 4. Your Employer's Address:
- 5. Nature of Your Employer's Business:
- 6. List any elected office(s) you hold OR check here  if none:
- 7. List any city/county boards/commissions to which are you are appointed OR check here  if none.

- 8. List the city/county department/agency that employs you and the positions you hold OR check here  if you are not employed by the city/county.

Consolidated City of Indianapolis and Marion County

*Please Complete Reverse Side*



Please attach extra sheets as necessary to fully respond to any question.

9. Did you, your spouse, or your dependent have a financial interest in any contract, other than an employment contract, with any city/county agency/department during the past twelve (12) months?  
 YES  NO

If YES, list the agency/department and contractor's name, and describe each such contract:

10. State the name of any business entity from which you received compensation that to the best of your knowledge does (or intends to do) business with any city/county agency/department during your term of office, employment, or appointment with the city/county OR check here  if none.

11. State the name of any business entity in which you, or any member of your immediate family, owns stocks, bonds, or other investments which (i) constitute ownership of five (5) percent or more of that business or (ii) have a value in excess of five thousand dollars (\$5,000.00) and which business entity, to the best of your knowledge, is doing or intends to do business with an agency/department OR check here  if none:

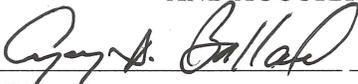
12. Provide any additional information you would like to disclose on an attached sheet OR check here  if there is no other additional information you would like to disclose.

13. *Elected Officials Only*: Did you accept any entertainment, food, drink, honoraria, travel expenses, and registration fees from a person who has a business relationship with any city/county agency/department that (i) are not exempted by subparts (2) through (11) of Section 293-201(b) and (ii) are valued in excess of \$100?  YES  NO

If YES, list, on an attached sheet, from whom the item was received, the date the item was received, a short description of the item, and the value of the item.

- Check here if you have NOT received ethics training by the Office of Corporation Counsel (OCC) in the last two years. If you have not, OCC will contact you to schedule training.

I AFFIRM UNDER THE PENALTIES OF PERJURY THAT MY STATEMENTS ARE TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE

  
Signature

30 APR 13  
Date

PLEASE SIGN, DATE, & RETURN THIS COMPLETED FORM TO THE

Office of Corporation Counsel  
1601 City County Building  
200 East Washington Street  
Indianapolis, Indiana 46204  
Fax: 317.327.3968/Email: ethics@indy.gov

CITY OF INDIANAPOLIS  
OFFICE OF THE MAYOR

ADDENDUM TO ECONOMIC STATEMENT OF INTEREST

May 1, 2013

As set forth in Section 293-323 of the Ethics Code, officials are required to list each gift having a value in excess of \$100.00 accepted from a person who has a business relationship with any city/county agency/department.

<i>Date</i>	<i>Gift</i>	<i>From</i>	<i>\$</i>
3/22/12 <sup>1</sup>	Pacers tickets (2)	IPL	\$1,824.00

For each past year, I have disclosed specific gifts, which I believe have a value in excess of \$100.00 that I have accepted even though I am advised that the entities do not technically have a business relationship with the City of Indianapolis or Marion County. I do this because I believe it to be in the public interest. I have accepted the following gifts since filing my statement on May 1, 2012, which have a value in excess of \$100.00:

<i>Date</i>	<i>Gift</i>	<i>From</i>	<i>\$</i>
8/9/12	Watch (Marine logo)	Steve & Kathy Brown	unknown
10/18/12	Super Bowl ring	Indianapolis Colts	\$400.00

I regularly receive mementos, such as T-shirts, hats, and art/framed remembrances from business, faith-based, and community organizations across the City, which I appreciate very much. I have been given a membership to the Columbia Club downtown and also the Highland and Woodstock clubs. I rarely play golf as a member at either place ; the vast majority of golf I play is at clubs open to the public.

Moreover, I make it a point to participate in as many activities as possible because it is important to lend official support to productive members of our community. Attendance at such functions is often necessary to further the City's economic development interests. Therefore, I regularly attend sporting events, including Colts, Indians, and Pacers games and the Indianapolis 500, as well as cultural events, such as Dance Kaleidoscope.

Tickets to events at properties managed by the Capital Improvement Board are often made available to the City. Additionally, the Indians provide the City with four seats behind home plate. My staff and I routinely distribute suite tickets to employees and community groups so that more can enjoy those activities. From time to time, I use these tickets because I am an ardent supporter of our local teams.

The tickets for the 2012 NCAA Final Four were provided by the NCAA.

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<sup>1</sup> This item was not reported in the 2011-2012 Economic Statement of Interest because the value was not known until after the filing date.