

# **Risk Assessments and Addressing Non-compliance in Probation**

Marion Superior Court Probation

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- “Evidence-based practice is a significant trend throughout all human service fields that emphasize outcomes. Interventions within corrections are considered effective when they reduce offender risk and subsequent recidivism and therefore make a positive long-term contribution to public safety.”

- *Implementing Evidence-Based Practice in Community Corrections: The Principles of Effective Intervention*, April 30, 2004.

# IRAS Review

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The Indiana Risk Assessment System (IRAS) was developed by the University of Cincinnati as part of an evidence-based practice approach. It has been validated on both Ohio and Indiana data and is now used in multiple states.

The IRAS consists of 6 instruments to be used at various points in the system:

1. Pretrial (IRAS-PAT)
2. Community Supervision (IRAS-CST)
3. Screener (IRAS-CSST)
4. Prison Intake (IRAS-PIT)
5. Supplemental Reentry (IRAS-SRT)
6. Static (IRAS-ST)

# IRAS Review

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- **Criminal History ( 6 items)**
- **Education, Employment, and Financial Situation (6 items)**
- **Family and Social Support (5 items)**
- **Neighborhood Problems (2 items)**
- **Substance Use (5 items)**
- **Peer Associations (4 items)**
- **Criminal Attitudes and Behavioral Patterns (7 items)**

# Using Results – Probation

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- Recommendations for sentencing should be based on individual needs identified by domain
- Develop narrative segments in PSI related to domain areas using assessment information
- Supervision level should typically be based on overall risk level
- Case plan should be prepared after sentencing and be based on IRAS results

# Using Results - Judges

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- Assessment information can be used to:
  - Decide whether to suspend all/part of sentence
  - Decide whether to assign offender to alternative treatment facilities or programs
  - Design a probation program for the offender
  - Can indicate programming or interventions appropriate for the individual offender
  - To supplement/enhance the evaluation & application of other sentencing evidence to formulate an individualized sentencing program appropriate for each defendant

# Indiana Presentence Report

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- Links assessment results to the report
- Each domain risk level identified in the risk assessment is contained in the specific section of the PSI
- Overall risk level in “Risk & Needs Assessments” section
- Additional assessment findings in “Complimentary Assessment Instruments”

# Addressing Violations

## MARION SUPERIOR COURT PROBATION DEPARTMENT – ADULT SERVICES DIVISION ADMINISTRATIVE SANCTIONS

PROBATION RISK LEVEL	SEVERITY LEVEL OF VIOLATION		
	LEVEL I	LEVEL II	LEVEL III
	<ul style="list-style-type: none"> <li>Failure to report to probation as directed</li> <li>Positive/diluted drug screen(s) or failure to submit to drug screen(s) (not including first positive test)</li> <li>First termination from treatment program</li> <li>Failure to attend GED/CSW/Theft class/AIDS and risk counseling/AAID</li> <li>Failure to report new address to probation</li> <li>Failure to obtain/maintain employment</li> <li>Living with or associating with felons w/out notifying PO</li> <li>Reporting to the Probation Department under the influence of alcohol (no arrest)</li> <li>Failure to establish paternity/pay child support</li> </ul>	<ul style="list-style-type: none"> <li>Repeated failure to report to probation as directed</li> <li>Repeated positive/diluted drug screen(s) or failure to submit to drug screen(s) after sanctions imposed</li> <li>Second termination from treatment program</li> <li>Repeated failure to attend GED/CSW/Theft Class, AAID panel/AIDS and Risk Counseling</li> <li>Failure to abide by set curfew</li> <li>New Arrest-not filed</li> </ul>	<ul style="list-style-type: none"> <li>Violation of no contact order w/ victim</li> <li>Repeated positive/diluted drug screen(s) or failure to submit to drug screen(s) after two previous interventions.</li> <li>Travel out of State w/out Court permission</li> <li>Possession of weapon (no arrest)</li> <li>CSW violation</li> <li>TRV Residence Violations</li> <li>Violation of HD, EMS, MEMS, Scram, GPS</li> <li>Failure to report for Administrative Hearing</li> <li>Repeated non-compliance w/ set curfew</li> </ul>
LOW	<ul style="list-style-type: none"> <li>Verbal intervention by PO</li> <li>Increased reporting to PO</li> <li>Research bus routes</li> <li>Job search forms</li> <li>PBT</li> <li>Substance Abuse Evaluation/Treatment</li> <li>Increased level of SAT</li> <li>Self Help Meetings</li> </ul>	<ul style="list-style-type: none"> <li>Increased reporting to PO</li> <li>Substance Abuse Evaluation/Treatment</li> <li>Increased level of SAT</li> <li>Self Help Meetings</li> <li>Impose curfew</li> <li>GED</li> <li>Essay, apology letter, or other written assignment</li> <li>Schedule Administrative Hearing</li> </ul>	<ul style="list-style-type: none"> <li>Increased reporting to PO</li> <li>Essay or other written assignment</li> <li>Schedule Administrative Hearing</li> <li>File Violation</li> </ul>
MEDIUM	<ul style="list-style-type: none"> <li>All options identified for low risk, Level I violations</li> <li>Carey Guide Exercise</li> <li>Court observation</li> <li>Increased field visits</li> <li>Referral to Employment Assistance Program</li> </ul>	<ul style="list-style-type: none"> <li>All options identified for low risk, Level II violations</li> <li>Carey Guide Exercise</li> <li>CSW</li> </ul>	<ul style="list-style-type: none"> <li>All options identified for low risk, Level III violations</li> <li>Carey Guide Exercise</li> <li>TRV</li> </ul>
HIGH	<ul style="list-style-type: none"> <li>All options identified for low or medium risk, Level I violations</li> </ul>	<ul style="list-style-type: none"> <li>All options identified for low or medium risk, Level II violations</li> <li>TRV</li> </ul>	<ul style="list-style-type: none"> <li>All options identified for low or medium risk, Level III violations</li> </ul>

# Questions?

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